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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

C. BALLARD

Application No.: 08/917,761

Filed: August 27, 1997

For: REMOTE IMAGE CAPTURE WITH  
CENTRALIZED PROCESSING AND  
STORAGE

Group Art Unit: 3642

Examiner:

Attorney Docket No.:  
2269-003

**SUBMISSION OF VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Further to applicant's Response to Notice to File  
Missing Parts of Application, enclosed is a Verified Statement  
Claiming Small Entity Status which has been signed by the  
assignee to complete the file of this application.

Respectfully submitted,

5/20/98  
Date

Allan A. Fanucci  
Allan A. Fanucci, Reg. No. 30,256

PENNIE & EDMONDS LLP  
1667 K Street, N.W.  
Washington, D.C. 20006

(202) 496-4400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Claudio R. BALLARD

Application No.: 08/917,761

Filed: August 27, 1997

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Group Art Unit: 3642

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**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
[37 CFR 1.9(f) and 1.27(c)] - Small Business Concern

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby declare that I am an official of the small business concern empowered to act in behalf of the concern identified below:

CSP Holdings, LLC  
16 West Neck Court  
Lloyd Harbor, New York 11743

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the person employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern and/or there is an obligation under contract or law by the inventor(s) to convey rights to the small business concern with regard to the invention, entitled **REMOTE IMAGE CAPTURE WITH CENTRALIZED PROCESSING AND STORAGE** by **Claudio R. BALLARD** described in Application No. 08/917,761 filed August 27, 1997.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

FULL NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28 (b)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

Send correspondence to:

PENNIE & EDMONDS LLP  
1667 K Street, N.W.  
Washington, D.C. 20006

Direct telephone calls to:

PENNIE & EDMONDS LLP  
(202) 496-4400

Name:

PRESIDENT

Title:

Address:

Signature:

Claudio R. Ballard

Date:

May 18, 1998